

03/08/01

11032 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. 1.53(b)

Attorney Docket No.

204078US6

First Inventor or Application Identifier

Kunimasa SUZUKI, et al.

Title

DISTRIBUTION MANAGEMENT DEVICE, DISTRIBUTION MANAGEMENT
METHOD, PROGRAM STORAGE MEDIUM AND DISTRIBUTION
MANAGEMENT SYSTEM

Assignee Name: SONY CORPORATION

Assignee Address: 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU, T.
JAPAN09/800524
PTO
U.S.
JAN 10 2002

03/08/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g. PTO/SB/17)
-
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification Total Sheets
- 71**

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) Total Sheets
- 13**
-
- (Formals)

- 4.
- ☐
- Oath or Declaration Total Pages
- 1**

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

- 5.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer
-
- Program (Appendix)

- 6.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7.
- ☐
- Assignment Papers (cover sheet & document(s))
-
- 8.
- ☒
- Application Data Sheet. See 37 CFR 1.76
-
- 9.
- ☐
- 37 C.F.R. §3.73(b) Statement (when there is an assignee)
- ☐
- Power of Attorney
-
- 10.
- ☐
- English Translation Document (if applicable)
-
- 11.
- ☐
- Information Disclosure Statement (IDS)/PTO-1449
- ☐
- Copies of IDS Citations
-
- 12.
- ☐
- Preliminary Amendment
-
- 13.
- ☒
- White Advance Serial No. Postcard
-
- 14.
- ☒
- Certified Copy of Priority Document(s) (1)
-
- (if foreign priority is claimed)
-
- 15.
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27
-
- 16.
- ☒
- Other: Notice of Priority, List of Inventors' Names
-
- and Addresses

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☐ Which was published in English☐ Which was not published in English☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name:	Gregory J. Maier	Registration No.:	25,599
Signature:		Date:	3/8/01
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

Docket No. 204078US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kunimasa SUZUKI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: DISTRIBUTION MANAGEMENT DEVICE, DISTRIBUTION MANAGEMENT METHOD, PROGRAM
STORAGE MEDIUM AND DISTRIBUTION MANAGEMENT SYSTEM

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	20 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$80 =	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$920.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$920.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$920.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Docket No. 204078US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kunimasa SUZUKI, et al.

FILING DATE: Herewith

FOR: DISTRIBUTION MANAGEMENT DEVICE, DISTRIBUTION MANAGEMENT METHOD, PROGRAM
STORAGE MEDIUM AND DISTRIBUTION MANAGEMENT SYSTEM

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

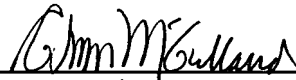
Listed below are the names and addresses of the inventors for the above-identified patent application.

Kunimasa SUZUKI	Kanagawa, Japan
Yukari TAKEHIRA	Tokyo, Japan
Yasuhiro UEDA	Tokyo, Japan
Shigeaki ARAI	Tokyo, Japan
Michiko SEKIKAWA	Tokyo, Japan
Lisa Naomi SCHLAIS	Tokyo, Japan
Takashi YAMAZAKI	Kanagawa, Japan
Tsuyoshi MAEDA	Saitama, Japan
Noriko ISHII	Saitama, Japan
Kentaro TANIGAKI	Tokyo, Japan
Hirokazu TAKAHASHI	Tokyo, Japan
Futaba SHINOHARA	Tokyo, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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